**Policy Approval Cover Sheet**

Policy Owner: WNS Trade Limit

Policy Author: Compliance Officer

Policy Reviewer: Board Members

Policy Implementation: Board Members

Policy Title: AML CFT Framework & Procedures Manuals

(refer to enclosed Policy Index)

Legal Certificate:  Yes Date: N/A Legal professional: Not Applicable

No Rationale: Inclusion of FIAMLR 2019, AMLCFT Act 2024, FCC Act 2023, Financial Crime Definition, Formatting

Policy Version:  New Policy  Ad Hoc Revision  Annual review

Rationale for changes: Annual review, changes in laws, activation

Board Approval Date: 23 Oct 24

Effective Date of Policies: 24 Oct 24

Policies Filed with FSC:  Yes Date: To be filed by 30 Oct 2024

No Rationale: -

Policy users:  Board members  Employees  Dealing Team

Compliance Team  Other

Date of Policy circulation to Policy Users: 23 Oct 2024

Updates to Company Website Required:  Yes  No

Webmaster Informed:  Yes  No

Comments: To upload the new Complaints Handling Policy on the website

**Signature Slip**

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| Date | 23 Oct 2024 | 23 Oct 2024 |
| Name |  | Uttra D. Boodan-Takoory |
| Signature |  |  |
| Position | Director | Compliance Officer |