**Policy Approval Cover Sheet**

Policy Owner: WNS Trade Limit

Policy Author: Compliance Officer

Policy Reviewer: Board Members

Policy Implementation: Board Members

Policy Title: AML CFT Framework & Procedures Manuals

(refer to enclosed Policy Index)

Legal Certificate: [ ]  Yes Date: N/A Legal professional: Not Applicable

[x]  No Rationale: Inclusion of FIAMLR 2019, AMLCFT Act 2024, FCC Act 2023, Financial Crime Definition, Formatting

Policy Version: [ ]  New Policy [ ]  Ad Hoc Revision [x]  Annual review

Rationale for changes: Annual review, changes in laws, activation

Board Approval Date: 23 Oct 24

Effective Date of Policies: 24 Oct 24

Policies Filed with FSC: [x]  Yes Date: To be filed by 30 Oct 2024

 [ ]  No Rationale: -

Policy users: [x]  Board members [x]  Employees [x]  Dealing Team

 [x]  Compliance Team [ ]  Other

Date of Policy circulation to Policy Users: 23 Oct 2024

Updates to Company Website Required: [x]  Yes [ ]  No

Webmaster Informed: [x]  Yes [ ]  No

Comments: To upload the new Complaints Handling Policy on the website

**Signature Slip**

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| Date | 23 Oct 2024 | 23 Oct 2024 |
| Name |  | Uttra D. Boodan-Takoory |
| Signature |  |  |
| Position | Director | Compliance Officer |