**Policy Approval Cover Sheet**

Policy Owner: WNS Trade Limit

Policy Author: Compliance Officer

Policy Reviewer: Board Members

Policy Implementation: Board Members

Policy Title: AML CFT Framework & Procedures Manuals

(refer to enclosed Policy Index)

Legal Certificate: ☐ Yes Date: N/A Legal professional: Not Applicable

☒ No Rationale: Inclusion of FIAMLR 2019, AMLCFT Act 2024, FCC Act 2023, Financial Crime Definition, Formatting

Policy Version: ☐ New Policy ☐ Ad Hoc Revision ☒ Annual review

Rationale for changes: Annual review, changes in laws, activation

Board Approval Date: 23 Oct 24

Effective Date of Policies: 24 Oct 24

Policies Filed with FSC: ☒ Yes Date: To be filed by 30 Oct 2024

☐ No Rationale: -

Policy users: ☒ Board members ☒ Employees ☒ Dealing Team

☒ Compliance Team ☐ Other

Date of Policy circulation to Policy Users: 23 Oct 2024

Updates to Company Website Required: ☒ Yes ☐ No

Webmaster Informed: ☒ Yes ☐ No

Comments: To upload the new Complaints Handling Policy on the website

**Signature Slip**

| Date | 23 Oct 2024 | 23 Oct 2024 |
| --- | --- | --- |
| Name |  |  |
| Signature |  |  |
| Position | Director | Compliance Officer |